

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEYS DOCKET NO.  <div style="text-align: center;">5656BIO-1</div> U.S. APPLICATION NO. (If known, see 35 CFR 1.5)  <div style="text-align: center;">NOT YET ASSIGNED</div>
INTERNATIONAL APPLICATION NO.  <div style="text-align: center;">PCT/KR2004/002914</div>	INTERNATIONAL FILING DATE  <div style="text-align: center;">11 November 2004</div>	PRIORITY DATE CLAIMED  <div style="text-align: center;">05 December 2003</div>
TITLE OF INVENTION <div style="text-align: center;">METHOD FOR PREPARING NANO-SCALE OR AMORPHOUS PARTICLE USING SOLID FAT AS A SOLVENT</div>		
APPLICANT(S) FOR DO/EO/US  <div style="text-align: center;">KIM, Kab-Sig</div>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <b>Items 11 to 10 below concern document(s) or information included:</b> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>13. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>16. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li>17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 1.54(d)(4).</li> <li>19. <input type="checkbox"/> Other items or information: Postcard Receipt</li> </ol>		

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20. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee ..... \$200.00 <input checked="" type="checkbox"/> c) Search fee ..... \$400.00 <div style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS = \$900.00</b></div>					Applicant use		Office use only	
					\$	300.00		
					\$	200.00		
					\$	400.00		
					\$	900.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250.00</b> for each additional 50 sheets of paper or fraction thereof.								
Total Sheets		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
36	-100 =	-64 /50 =	0	x \$250.00	\$	0		
Surcharge of <b>\$130.00</b> for finishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))					\$			
CLAIMS		NUMBER FILED		NUMBER EXTRA	RATE			
Total claims		20 - 20 =		0	x \$50.00		\$	0.00
Independent claims		3 - 3 =		0	x \$200.00		\$	0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					+ \$360.00		\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$	900.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$			
<b>SUBTOTAL =</b>					\$			
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$			
<b>TOTAL NATIONAL FEE =</b>					\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) <b>\$40.00</b> per property					\$			
<b>TOTAL FEES ENCLOSED =</b>					\$	900.00		
<b>Amount to be refunded:</b>					/ / / / /		\$	
<b>Amount to be charged:</b>					/ / / / /		\$	

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 19-1970 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

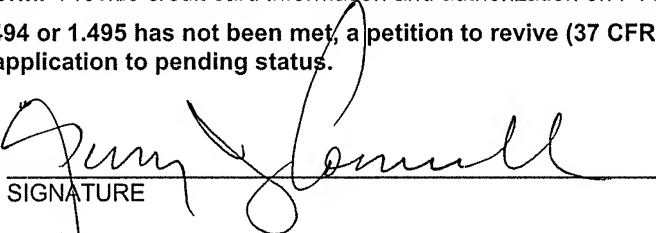
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

SHERIDAN ROSS P.C.  
 1560 Broadway, Suite 1200  
 Denver, Colorado 80202-5141  
 Telephone: (303) 863-9700  
 Facsimile: (303) 863-0223

  
 SIGNATURE  
 Gary J. Connell  
 Registration No. 32,020

**CUSTOMER NO. 22442**

"EXPRESS MAIL" MAILING LABEL NUMBER:

DATE OF DEPOSIT: \_\_\_\_\_

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: \_\_\_\_\_ CAROL WERNER \_\_\_\_\_

SIGNATURE: \_\_\_\_\_